

# Foundations of Probabilistic Proofs

A course by **Alessandro Chiesa**

## Lecture 07

# Linearity Testing



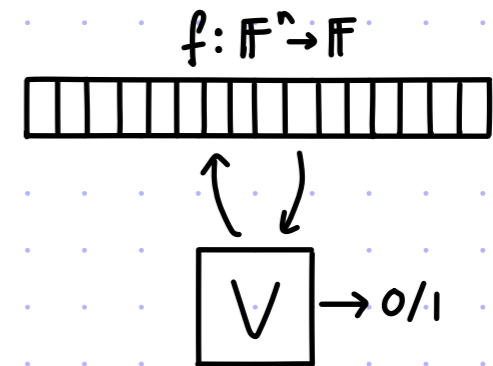
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# Warmup 1: All-Zero Testing

A function  $f: \mathbb{F}^n \rightarrow \mathbb{F}$  is **ALL-ZERO** if  $\forall x \in \mathbb{F}^n f(x) = 0$ .

**QUESTION:** is there a  $O(1)$ -query test  $V$  s.t.  $\forall f: \mathbb{F}^n \rightarrow \mathbb{F}$

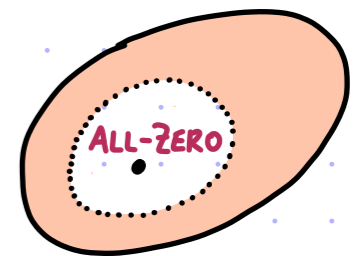
- **COMPLETENESS:** if  $f$  is **ALL-ZERO** then  $\Pr[V^f=1]=1$
- **SOUNDNESS:** if  $f$  is not **ALL-ZERO** then  $\Pr[V^f=1] \leq 1/2$



**ANSWER:** **No.** (If  $f$  is  $\neq 0$  at a single location, how can a  $O(1)$ -query  $V$  detect this?)

**RELAXED QUESTION:** is there a  $O(1)$ -query test  $V$  s.t.  $\forall f: \mathbb{F}^n \rightarrow \mathbb{F}$

- **COMPLETENESS:** if  $f$  is **ALL-ZERO** then  $\Pr[V^f=1]=1$
- **SOUNDNESS:** if  $f$  is **far from ALL-ZERO** then  $\Pr[V^f=1] \leq 1/2$



We use (relative) Hamming distance:  $\Delta(f, g) := \Pr_{x \in \mathbb{F}^n} [f(x) \neq g(x)]$  and  $\Delta(f, S) := \min_{g \in S} \Delta(f, g)$ .

**ANSWER:** **YES!**  $V_o^f :=$  sample random  $x \in \mathbb{F}^n$  and check that  $f(x) = 0$

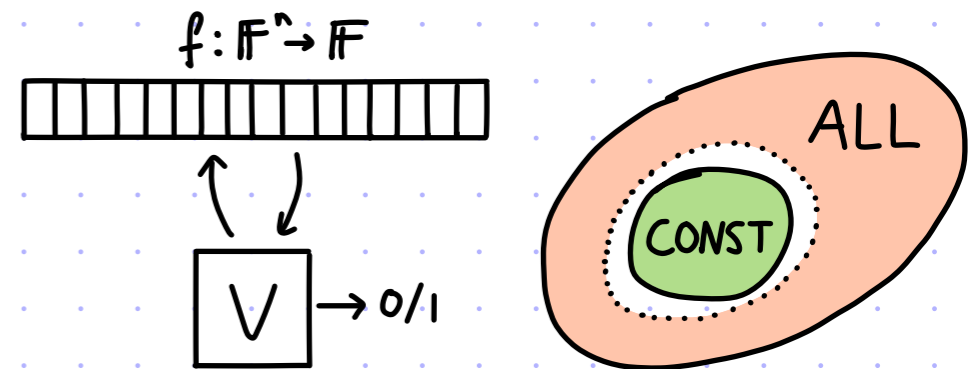
- if  $f$  is **ALL-ZERO** then  $\Pr[V^f=1] = \Pr_x[f(x)=0] = 1$
- if  $\Delta(f, \text{ALL-ZERO}) \geq \delta$  then  $\Pr[V^f=1] = 1 - \Pr[V^f=0] = 1 - \Pr_x[f(x) \neq 0] = 1 - \Delta(f, \text{ALL-ZERO}) \leq 1 - \delta$ .

# Warmup 2: Constant Testing

Define the set  $\text{CONST} := \{f: \mathbb{F}^n \rightarrow \mathbb{F} : \exists c \in \mathbb{F} \text{ s.t. } \forall x \in \mathbb{F}^n f(x) = c\}$  (constant functions).

We **cannot expect** to distinguish (with small error)  $f \in \text{CONST}$  vs  $f \notin \text{CONST}$  by querying  $f$  at few locations.

What about distinguishing  $f \in \text{CONST}$  vs  $\Delta(f, \text{CONST}) \geq \delta$ ?



$V^f :=$  Sample random  $x \in \mathbb{F}^n$  and check that  $f(x) = f(0^n)$ .

• If  $f \in \text{CONST}$  then  $\exists c \in \mathbb{F}$  s.t.  $\forall x \in \mathbb{F}^n f(x) = c$   
so  $\Pr[V^f = 1] = \Pr_x[f(x) = f(0^n)] = \Pr_x[c = c] = 1$ .

• If  $\Delta(f, \text{CONST}) \geq \delta$  then  $\Pr[V^f = 1] = 1 - \Pr[V^f = 0]$   
 $= 1 - \Pr_x[f(x) \neq f(0^n)]$   
 $\leq 1 - \min_{c \in \mathbb{F}} \Pr_x[f(x) \neq c]$   
 $= 1 - \Delta(f, \text{CONST}) \leq 1 - \delta$ .

# Proximity Testing

Both warmups are examples of problems in **PROPERTY TESTING**.

A **PROPERTY** is a set of functions  $F = \{f: D \rightarrow \Sigma\}$ .

def:  $V$  is a test for the property  $F$  with proximity error  $\epsilon$  if

- **COMPLETENESS:**  $\forall f \in F \Pr[V^f = 1] = 1$
- **SOUNDNESS:**  $\forall \delta \in [0, 1] \forall f$  with  $\Delta(f, F) \geq \delta \Pr[V^f = 1] \leq \epsilon(\delta)$

The distance  $\Delta$  is usually (relative) Hamming distance.

Sometimes  $\Delta$  is  $\ell_p$  distance (e.g. when  $\Sigma = [0, 1]$ ) or other metrics.

**MAIN GOAL:** minimize the query complexity  $q$  of the test  $V$

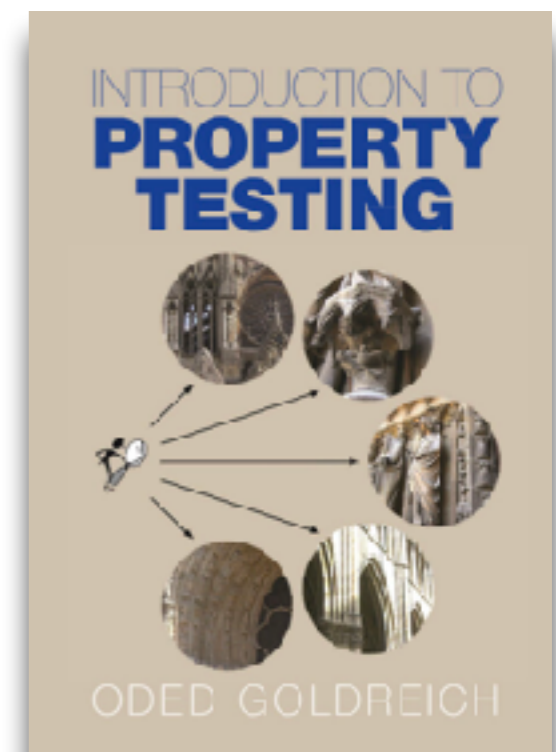
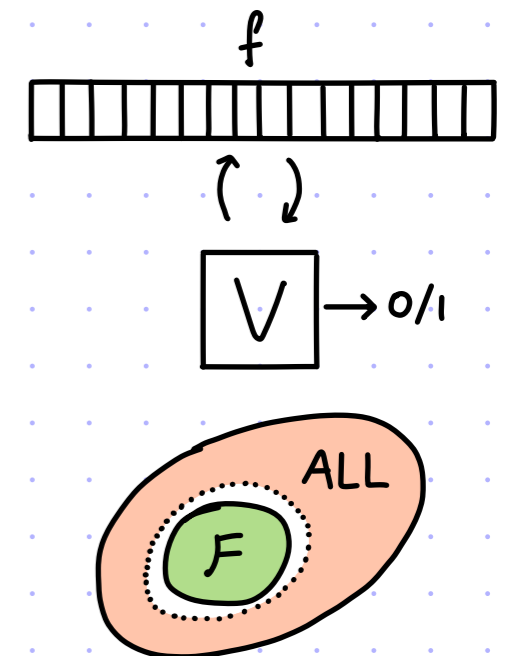
In warmup 1:  $F = \{\text{ALL-ZERO}\}$ ,  $\epsilon(\delta) = 1 - \delta$ ,  $q = 1$

In warmup 2:  $F = \text{CONST}$ ,  $\epsilon(\delta) = 1 - \delta$ ,  $q = 2$

See Goldreich's book for an introduction to property testing.  $\rightarrow$

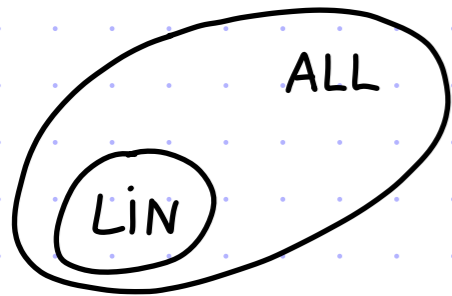
Today we are interested in testing an algebraic property:

## **LINEARITY TESTING**



# Linear Functions

A function  $f: \mathbb{F}^n \rightarrow \mathbb{F}$  is **linear** if  $\exists c \in \mathbb{F}^n$  s.t.  $f(x) = \sum_{i=1}^n c_i x_i$ .



$$ALL = \{ f: \mathbb{F}^n \rightarrow \mathbb{F} \}$$

$$|ALL| = |\mathbb{F}|^{|\mathbb{F}|^n}$$

$$LIN = \{ f: \mathbb{F}^n \rightarrow \mathbb{F} \text{ is linear} \}$$

$$|LIN| = |\mathbb{F}|^n$$

The set LIN is known as the **HADAMARD CODE**.

LIN is a linear error-correcting code (since LIN is an  $\mathbb{F}$ -linear space).

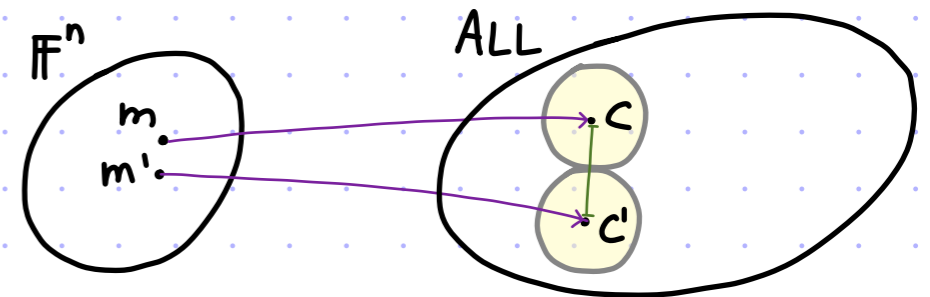
A message  $m \in \mathbb{F}^n$  is mapped to the codeword  $c := \langle a, m \rangle_{a \in \mathbb{F}^n}$ .

Parameters of the code: message length =  $n$

block length =  $|\mathbb{F}|^n$

relative distance =  $1 - \frac{1}{|\mathbb{F}|}$

( $\forall$  distinct  $f, g \in LIN \ \Pr_{x \in \mathbb{F}^n} [f(x) = g(x)] < \frac{1}{|\mathbb{F}|}$ )

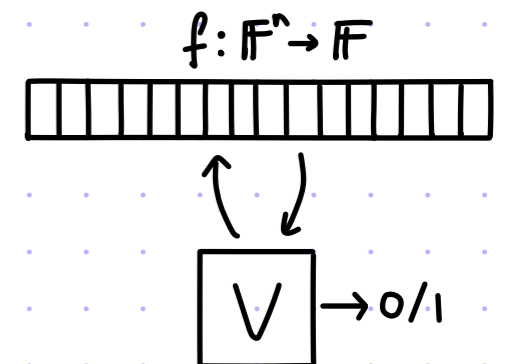


We **CANNOT** distinguish (with small error)  $f \in LIN$  vs  $f \notin LIN$

by querying  $f$  at few locations.

If  $f \notin LIN$  differs in 1 location from  $\bar{f} \in LIN$ ,

no  $O(1)$ -query test  $V$  detects that  $f \notin LIN$  with constant soundness error.



# Linearity Testing

**GOAL:** decide between "f ∈ LIN" and "f is far from LIN".

**def:** V is a **LINEARITY TEST** (for the field  $\mathbb{F}$ ) with proximity error  $\epsilon$  if

- **COMPLETENESS:**  $\forall f \in \text{LIN} \Pr[V^f=1] = 1$  (relative) Hamming distance.
- **SOUNDNESS:**  $\forall \delta \in [0,1] \forall f$  with  $\Delta(f, \text{LIN}) \geq \delta \Pr[V^f=1] \leq \epsilon(\delta)$

**EXAMPLE:**  $V^f: \mathbb{F}^n \rightarrow \mathbb{F} :=$  Sample random  $x \in \mathbb{F}^n$  and query f at  $x, e_1, \dots, e_n$ .  
 Check that  $f(x) = \sum_{i=1}^n f(e_i) \cdot x_i$ .  
 $e_i := 0^{i-1} 1 0^{n-i}$

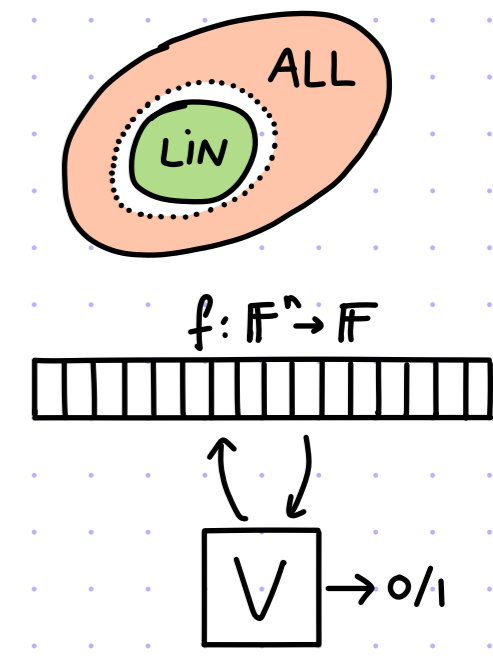
- if  $f \in \text{LIN}$  then  $\exists c \in \mathbb{F}^n$  s.t.  $f(x) = \sum_{i=1}^n c_i x_i$   
 so  $\Pr[V^f=1] = \Pr_x [f(x) = \sum_{i=1}^n f(e_i) x_i] = \Pr_x [\sum_{i=1}^n c_i x_i = \sum_{i=1}^n c_i x_i] = 1$ .
- if  $\Delta(f, \text{LIN}) \geq \delta$  then  
 $\Pr[V^f=1] = 1 - \Pr[V^f=0] = 1 - \Pr_x [f(x) \neq \sum_{i=1}^n f(e_i) x_i] \leq 1 - \min_{g \in \text{LIN}} \Pr_x [f(x) \neq g(x)] = 1 - \Delta(f, \text{LIN}) \leq 1 - \delta$ .

**PROBLEM:** query complexity is LARGE

In fact everything we did so far is **TRIVIAL:** queries = {queries to determine which function  $f \in \mathcal{F}$ }  $\cup$  {1 random query}

**Q:** Is there a non-trivial (e.g. constant query) linearity test?

- no queries for ALL-ZERO
- 1 query for CONST
- n queries for LIN



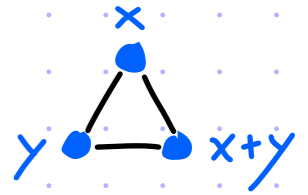
# The Blum-Luby-Rubinfeld Test



The idea is to leverage **DUALITY**:  $f \in \text{LIN} \iff \forall x, y \in \mathbb{F}^n \quad f(x) + f(y) = f(x+y)$

The **BLR test** for linearity:

$|\mathbb{F}|^{2n}$  local constraints



$f: \mathbb{F}^n \rightarrow \mathbb{F}$   
 $V_{\text{BLR}} :=$  1. Sample  $x, y \in \mathbb{F}^n$   
 2. Check that  $f(x) + f(y) = f(x+y)$

randomness:  $2n$  field elements

queries: 3 locations of  $f$

Completeness: if  $f \in \text{LIN}$  then  $\forall x, y \in \mathbb{F}^n \quad f(x) + f(y) = f(x+y)$  so  $\Pr[V_{\text{BLR}}^f = 1] = 1$

Soundness: non-trivial. (An example of a **LOCAL-TO-GLOBAL PHENOMENON**.)

theorem:  $\Pr[V_{\text{BLR}}^f = 0] \geq \min\left\{\frac{1}{6}, \frac{1}{2} \cdot \Delta(f, \text{LIN})\right\}$

Equivalently:

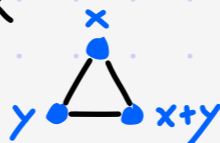
$\Pr[V_{\text{BLR}}^f = 1] \leq \max\left\{\frac{5}{6}, 1 - \frac{1}{2} \Delta(f, \text{LIN})\right\}$

Intuition:

- if  $f$  is linear then each  $y \in \mathbb{F}^n$  "votes" for the same value of  $x$ :  $\forall y \in \mathbb{F}^n, \quad f(x+y) - f(y) = f(x)$
- if  $f$  is not linear then we can still consider, for every  $x$ , the most popular value:

the **plurality correction**  $g_f: \mathbb{F}^n \rightarrow \mathbb{F}$  is  $g_f(x) := \arg \max_{v \in \mathbb{F}} \left| \left\{ y \in \mathbb{F}^n \mid v = f(x+y) - f(y) \right\} \right|$

# Proof overview

$$V_{\text{BLR}}^f: \mathbb{F}^n \rightarrow \mathbb{F} := \begin{array}{l} 1. \text{ Sample } x, y \in \mathbb{F}^n \\ 2. \text{ Check that } f(x) + f(y) = f(x+y) \end{array}$$


theorem:  $\Pr[V_{\text{BLR}}^f = 0] \geq \min\left\{\frac{1}{6}, \frac{1}{2} \cdot \Delta(f, \text{LIN})\right\}$

The plurality correction is

$$g_f: \mathbb{F}^n \rightarrow \mathbb{F} \quad \text{where} \quad g_f(x) := \arg \max_{v \in \mathbb{F}} \left| \{y \in \mathbb{F}^n \mid v = f(x+y) - f(y)\} \right|$$

- Part 1:  $\Pr[V_{\text{BLR}}^f = 0] \geq \frac{1}{2} \cdot \Delta(f, g_f)$  far from plurality correction  $\rightarrow$  many bad triangles
- Part 2:  $\Pr[V_{\text{BLR}}^f = 0] < \frac{1}{6} \rightarrow g_f \in \text{LIN}$  few bad triangles  $\rightarrow$  plurality correction is linear

Conclusion:

- If  $\Pr[V_{\text{BLR}}^f = 0] \geq \frac{1}{6}$  then we are done.
- If  $\Pr[V_{\text{BLR}}^f = 0] < \frac{1}{6}$  then (by Part 2)  $g_f$  is linear and (by Part 1) we get

$$\Pr[V_{\text{BLR}}^f = 0] \geq \frac{1}{2} \cdot \Delta(f, g_f) \geq \frac{1}{2} \cdot \Delta(f, \text{LIN}). \quad \blacksquare$$

# Analysis of BLR Test - Part 1

The plurality correction of  $f$  is  $g_f(x) := \arg \max_{v \in \mathbb{F}} |\{y \in \mathbb{F}^n \mid v = f(x+y) - f(y)\}|$ .

If  $g_f$  is far from  $f$  then  $V_{BLR}^f$  rejects with high probability:

claim:  $\Pr[V_{BLR}^f = 0] \geq \frac{1}{2} \cdot \Delta(f, g_f)$ .

But  $\Delta(f, g_f) = 0 \not\Rightarrow \Pr[V_{BLR}^f = 0] = 0$ .  
Exercise: find a counterexample.

proof: Define  $S := \{x \in \mathbb{F}^n \mid \Pr_{y \leftarrow \mathbb{F}^n} [f(x) \neq f(x+y) - f(y)] \geq \frac{1}{2}\}$ .

For every  $x \notin S$ ,  $\Pr_{y \leftarrow \mathbb{F}^n} [f(x) = f(x+y) - f(y)] > \frac{1}{2}$  (more than half of  $y$ 's vote for  $f(x)$ ), so  $f(x) = g_f(x)$ .

Hence  $\Delta(f, g_f) \leq \frac{|S|}{|\mathbb{F}^n|}$  ( $\forall x$  if  $f(x) \neq g(x)$  then  $x \in S$ ).

$$\begin{aligned} \text{So } \Pr[V_{BLR}^f = 0] &= \Pr_x [x \in S] \cdot \Pr_{x,y} [V_{BLR}^f = 0 \mid x \in S] + \Pr_x [x \notin S] \cdot \Pr_{x,y} [V_{BLR}^f = 0 \mid x \notin S] \\ &\geq \frac{|S|}{|\mathbb{F}^n|} \cdot \min_{x \in S} \left\{ \Pr_y [f(x) \neq f(x+y) - f(y)] \right\} + 0 \\ &\geq \frac{|S|}{|\mathbb{F}^n|} \cdot \frac{1}{2} \geq \Delta(f, g_f) \cdot \frac{1}{2}. \end{aligned}$$

# Analysis of BLR Test - Collision Lemma

We show that few bad triangles imply many votes for the plurality correction.

claim:  $\forall x \in \mathbb{F}^n \quad \Pr_{y \leftarrow \mathbb{F}^n} [g_f(x) = f(x+y) - f(y)] \geq 1 - 2 \cdot \Pr[V_{BLR}^f = 0].$

proof:  $\Pr_{y \leftarrow \mathbb{F}^n} [g_f(x) = f(x+y) - f(y)] = \max_{v \in \mathbb{F}} \Pr_{y \leftarrow \mathbb{F}^n} [v = f(x+y) - f(y)]$

$\sum_i p_i^2 \leq \max\{p_i\} \cdot \sum_i p_i$   $\Rightarrow \sum_{v \in \mathbb{F}} \Pr_{y \leftarrow \mathbb{F}^n} [v = f(x+y) - f(y)]^2 = \sum_{v \in \mathbb{F}} \Pr_{y \leftarrow \mathbb{F}^n} [v = f(x+y) - f(y)] \Pr_{z \leftarrow \mathbb{F}^n} [v = f(x+z) - f(z)]$

independence  $= \sum_{v \in \mathbb{F}} \Pr_{y, z \leftarrow \mathbb{F}^n} [v = f(x+y) - f(y) \wedge v = f(x+z) - f(z)] = \Pr_{y, z \leftarrow \mathbb{F}^n} [f(x+y) - f(y) = f(x+z) - f(z)]$

$\geq 1 - 2 \cdot \Pr[V_{BLR}^f = 0].$

We now analyze the COLLISION PROBABILITY.

Note that  $f(x+y) - f(y) \neq f(x+z) - f(z) \leftrightarrow f(x+y) + f(z) \neq f(x+z) + f(y)$   
 $\rightarrow f(x+y) + f(z) \neq f(x+y+z)$  OR  $f(x+z) + f(y) \neq f(x+y+z).$

Hence  $\Pr_{y, z} [f(x+y) - f(y) \neq f(x+z) - f(z)]$

$\leq \Pr_{y, z} [f(x+y) + f(z) \neq f(x+y+z)] + \Pr_{y, z} [f(x+z) + f(y) \neq f(x+y+z)]$

$\leq 2 \cdot \Pr[V_{BLR}^f = 0]$  (because  $(x+y, z)$  and  $(x+z, y)$  are random in  $\mathbb{F}^n \times \mathbb{F}^n$ ).



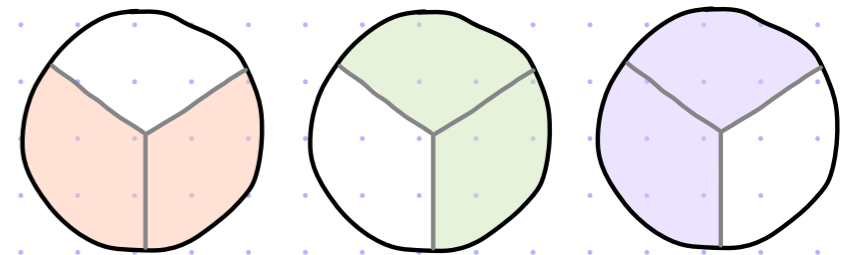
# Analysis of BLR Test - Part 2

claim: if  $\Pr[V_{BLR}^f = 0] < \frac{1}{6}$  then  $g_f \in \text{LIN}$

proof: Fix  $x, y \in \mathbb{F}^n$ . We show that  $g_f(x) + g_f(y) = g_f(x+y)$ .

- $\Pr_z [g_f(x) = f(x+z) - f(z)] \stackrel{\text{collision lemma}}{\geq} 1 - 2 \cdot \Pr[V_{BLR}^f = 0] > \frac{2}{3}$
- $\Pr_z [g_f(y) = f(z) - f(z-y)] = \Pr_z [g_f(y) = f(y+z) - f(z)] \stackrel{\text{collision lemma}}{\geq} 1 - 2 \cdot \Pr[V_{BLR}^f = 0] > \frac{2}{3}$   
rename  $z-y$  to  $z$
- $\Pr_z [g_f(x+y) = f(x+z) - f(z-y)] = \Pr_z [g_f(x+y) = f(x+y+z) - f(z)] \stackrel{\text{collision lemma}}{\geq} 1 - 2 \cdot \Pr[V_{BLR}^f = 0] > \frac{2}{3}$   
rename  $z-y$  to  $z$

Hence  $\exists z^* \in \mathbb{F}^n$  s.t.  $\left\{ \begin{array}{l} g_f(x) = f(x+z^*) - f(z^*) \\ g_f(y) = f(z^*) - f(z^*-y) \\ g_f(x+y) = f(x+z^*) - f(z^*-y) \end{array} \right\}$ .



We conclude that  $g_f(x) + g_f(y) = f(x+z^*) - f(z^*-y) = g_f(x+y)$ . ■

# Local Correction of Linear Functions

[1/2]

Suppose that  $f: \mathbb{F}^n \rightarrow \mathbb{F}$  is  $\varepsilon$ -close to linear:  $\exists \bar{f} \in \text{LIN}$  s.t.  $\Delta(f, \bar{f}) \leq \varepsilon$ .



**GOAL:** given  $x \in \mathbb{F}^n$  and oracle access to  $f$ , output  $\bar{f}(x)$ .

**SOLUTION:** leverage the fact that  $\forall y \in \mathbb{F}^n$   $\bar{f}(x) = \bar{f}(x+y) - \bar{f}(y)$ .

$A^f(x)$ : Sample  $y \in \mathbb{F}^n$  and output  $f(x+y) - f(y)$ .

claim:  $\Pr[A^f(x) \neq \bar{f}(x)] \leq 2 \cdot \varepsilon$

proof: Since  $y$  is random in  $\mathbb{F}^n$ , we know that:

- $\Pr_y[f(y) \neq \bar{f}(y)] \leq \varepsilon$
- $\Pr_y[f(x+y) \neq \bar{f}(x+y)] \leq \varepsilon$

We conclude that  $\Pr_y[f(x+y) - f(y) \neq \bar{f}(x)] = \Pr_y[f(x+y) - f(y) \neq \bar{f}(x+y) - \bar{f}(y)]$   
 $\leq \Pr_y[f(y) \neq \bar{f}(y) \vee f(x+y) \neq \bar{f}(x+y)] \leq 2\varepsilon$ . ■

# Local Correction of Linear Functions

[2/2]

We can reduce error via **REPETITION**:

$A^f(x, t)$ : Sample  $y_1, \dots, y_t \in \mathbb{F}^n$  and output  $\underset{i \in [t]}{\text{plurality}} \{f(x+y_i) - f(y_i)\}$ .  
most frequent value in the set

claim: if  $\bar{f} \in \text{LIN}$  and  $\Delta(f, \bar{f}) \leq \epsilon \leq \frac{1}{4}$  then  $\Pr[A^f(x, t) \neq \bar{f}(x)] \leq 2 \cdot e^{-\frac{t}{4} \cdot (\frac{1}{2} - 2\epsilon)^2}$ .

proof: By a **CONCENTRATION** argument.

Recall (one version of) the **Chernoff Bound**:

Let  $(z_i)_{i \in [t]}$  be independent random variables in  $[0, 1]$ .

Define  $Z := \frac{1}{t} \sum_{i \in [t]} z_i$ . Then  $\forall \gamma \geq 0$   $\Pr[|Z - \mathbb{E}[Z]| \geq \gamma] \leq 2 \cdot e^{-\frac{t}{4} \gamma^2}$ .

Define  $z_i := "f(x+y_i) - f(y_i) \neq \bar{f}(x)"$ . Note that  $\mathbb{E}[Z] \leq 2 \cdot \epsilon \leq \frac{1}{2}$ .

If  $\underset{i \in [t]}{\text{plurality}} \{f(x+y_i) - f(y_i)\} \neq \bar{f}(x)$  then  $\sum_{i \in [t]} z_i \geq t/2$ .

Hence  $\Pr[A^f(x, t) \neq \bar{f}(x)] \leq \Pr[\sum_{i \in [t]} z_i \geq t/2] = \Pr[Z \geq 1/2]$

$$= \Pr[Z - \mathbb{E}[Z] \geq 1/2 - \mathbb{E}[Z]]$$

$$\leq \Pr[|Z - \mathbb{E}[Z]| \geq 1/2 - \mathbb{E}[Z]] \leq 2 \cdot e^{-\frac{t}{4} \cdot (\frac{1}{2} - \mathbb{E}[Z])^2} \leq 2 \cdot e^{-\frac{t}{4} \cdot (\frac{1}{2} - 2\epsilon)^2}$$

$\mathbb{E}[Z] \leq \frac{1}{2}$

Chernoff Bound



# Homomorphism Testing

The analysis we saw is the **COMBINATORIAL ANALYSIS** of the BLR test.

It extends, essentially with no changes, to achieve **HOMOMORPHISM TESTING**.

Let  $G, H$  be groups. The set of group homomorphisms from  $G$  to  $H$  is

$$\text{Hom}(G, H) := \{ f: G \rightarrow H \mid \forall x, y \in G \quad f(x) +_H f(y) = f(x +_G y) \}.$$

Example:

$$\text{Hom}((\mathbb{F}^n, +), (\mathbb{F}, +)) = \text{LIN}$$

The BLR test extends naturally:

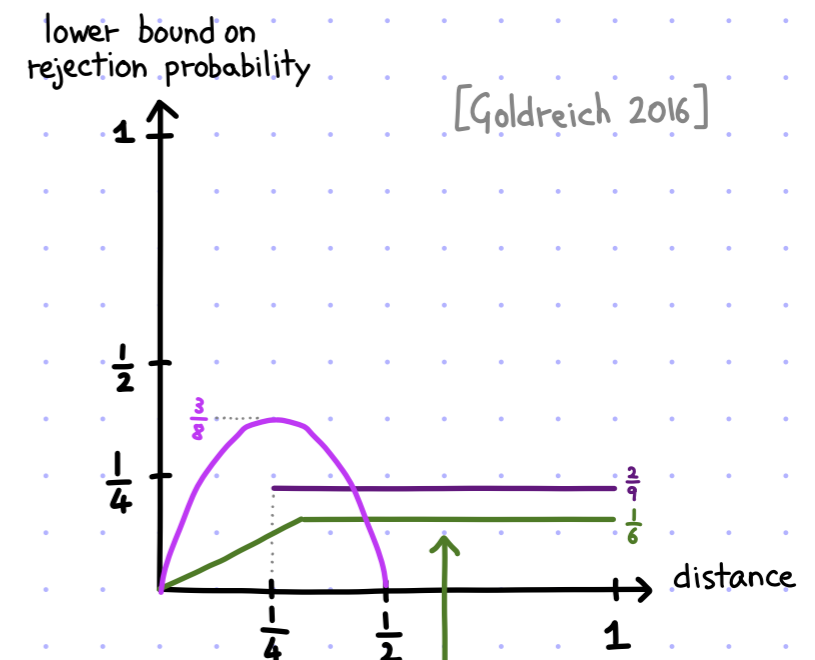
$$V_{\text{BLR}}^{f: G \rightarrow H} := \begin{array}{l} 1. \text{ Sample } x, y \in G. \\ 2. \text{ Check that } f(x) +_H f(y) = f(x +_G y). \end{array}$$

Completeness: if  $f \in \text{Hom}(G, H)$  then  $\Pr[V_{\text{BLR}}^f = 1] = 1$

Soundness:  $\Pr[V_{\text{BLR}}^f = 0] \geq \min \left\{ \frac{1}{6}, \frac{1}{2} \cdot \Delta(f, \text{Hom}(G, H)) \right\}$  ← today's analysis

The lower bound can be somewhat improved (see diagram).

**OPEN**: determine the function  $\varepsilon: [0, 1] \rightarrow [0, 1]$  s.t.  $\Pr[V_{\text{BLR}}^f = 0] = \varepsilon(\Delta(f, \text{Hom}(G, H)))$ .



# Improved Analysis for Finite Fields

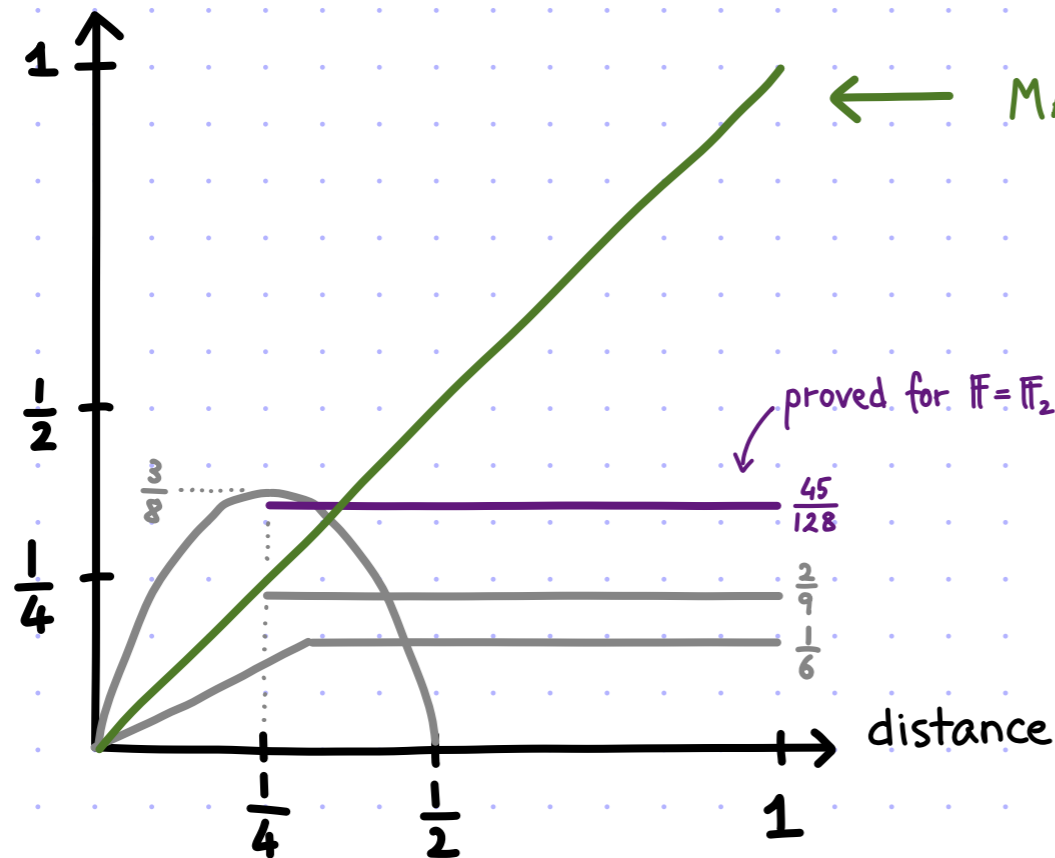
[1/4]

A different analysis, for linear functions over finite fields, achieves an improved bound.

theorem:  $\forall f: \mathbb{F}^n \rightarrow \mathbb{F}, \Pr[V_{BLR}^f = 0] \geq \Delta(f, \text{LIN})$

$f: \mathbb{F}^n \rightarrow \mathbb{F}$   
 $V_{BLR} :=$  1. Sample  $x, y \in \mathbb{F}^n$  and  $a, b \in \mathbb{F} \setminus \{0\}$ .  
2. Check that  $a f(x) + b f(y) = f(ax + by)$ .

lower bound on rejection probability



The gray curves carry over from the generic analyses for homomorphism testing (including today's  $\min\{\frac{1}{6}, \frac{1}{2} \cdot \delta\}$  curve).

# Improved Analysis for Finite Fields

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theorem:  $\forall f: \mathbb{F}^n \rightarrow \mathbb{F}, \Pr[V_{BLR}^f = 0] \geq \Delta(f, \text{LIN})$

$$V_{BLR}^f :=$$

1. Sample  $x, y \in \mathbb{F}^n$  and  $a, b \in \mathbb{F} \setminus \{0\}$ .
2. Check that  $a f(x) + b f(y) = f(ax + by)$ .

We outline the proof approach.

Let  $q = p^e$  be the prime-power size of  $\mathbb{F}$ .

For  $\alpha \in \mathbb{F}_q^n$ , the **character function**  $\chi_\alpha: \mathbb{F}_q^n \rightarrow \mathbb{C}$  is  $\chi_\alpha(x) := \omega_p^{\text{Tr}(\langle \alpha, x \rangle)}$ .

(If  $q = p$  then  $\text{Tr}(x) = x$  so  $\chi_\alpha$  simplifies to  $\chi_\alpha(x) = \omega_p^{\langle \alpha, x \rangle}$ .)

field trace map  $\text{Tr}: \mathbb{F}_q \rightarrow \mathbb{F}_p$

$$\text{Tr}(x) := \sum_{i=0}^{e-1} x^{p^i} \pmod p$$

$p$ -th root of unity  $\omega_p := e^{\frac{2\pi i}{p}}$

The set  $\{\chi_\alpha\}_{\alpha \in \mathbb{F}_q^n}$  is an **orthonormal basis** for the functions  $\{g: \mathbb{F}_q^n \rightarrow \mathbb{C}\}$

with the inner product  $\langle g, h \rangle := \mathbb{E}_{x \in \mathbb{F}_q^n} [g(x) \overline{h(x)}]$ . complex conjugate

Hence, every  $g: \mathbb{F}_q^n \rightarrow \mathbb{C}$  can be written uniquely as  $g(x) = \sum_{\alpha \in \mathbb{F}_q^n} \hat{g}(\alpha) \chi_\alpha(x)$

where  $\{\hat{g}(\alpha)\}_{\alpha \in \mathbb{F}_q^n} := \{\langle g, \chi_\alpha \rangle\}_{\alpha \in \mathbb{F}_q^n}$  are  $g$ 's **Fourier coefficients**.

Useful for derivations:

- Parseval's identity:  $\langle g, g \rangle = \sum_{\alpha \in \mathbb{F}_q^n} \hat{g}(\alpha) \overline{\hat{g}(\alpha)} = \sum_{\alpha \in \mathbb{F}_q^n} |\hat{g}(\alpha)|^2$
- Plancherel's identity:  $\langle g, h \rangle = \sum_{\alpha \in \mathbb{F}_q^n} \hat{g}(\alpha) \overline{\hat{h}(\alpha)}$

# Improved Analysis for Finite Fields

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The Fourier set of  $f: \mathbb{F}_q^n \rightarrow \mathbb{F}_q$  is  $\Phi(f) := \{ \varphi_c: \mathbb{F}_q^n \rightarrow \mathbb{C}, \varphi_c(x) := \omega_p^{\text{Tr}(c \cdot f(x))} \}_{c \in \mathbb{F}_q^*}$ .

Note that  $|\Phi(f)| = q-1$ .

EXAMPLE: If  $\ell: \mathbb{F}_q^n \rightarrow \mathbb{F}_q$  is the linear function  $\ell(x) = \langle \alpha, x \rangle$  then  $\Phi(f) = \{ \chi_{c\alpha} \}_{c \in \mathbb{F}_q^*}$ .

Note that  $\hat{\chi}_{c\alpha}(c\alpha) = 1$  and,  $\forall \beta \in \mathbb{F}_q^n \setminus \{c\alpha\}, \hat{\chi}_{c\alpha}(\beta) = 0$ .  $\otimes$

The distance between two functions can be expressed in terms of their Fourier sets:

lemma:  $\forall f, g: \mathbb{F}_q^n \rightarrow \mathbb{F}_q$  with Fourier sets  $\{ \varphi_c \}_{c \in \mathbb{F}_q^*}$  and  $\{ \gamma_c \}_{c \in \mathbb{F}_q^*}$

$$\Delta(f, g) = \Pr_{x \leftarrow \mathbb{F}_q^n} [f(x) \neq g(x)] = 1 - \frac{1}{q} \cdot \left( 1 + \sum_{c \in \mathbb{F}_q^*} \langle \varphi_c, \gamma_c \rangle \right).$$

Let  $f: \mathbb{F}_q^n \rightarrow \mathbb{F}_q$  with Fourier set  $\{ \varphi_c \}_{c \in \mathbb{F}_q^*}$ .

The distance to linear functions is

Plancherel's identity  $\otimes$ :

$$\langle \varphi_c, \chi_{c\alpha} \rangle = \sum_{\beta \in \mathbb{F}_q^n} \hat{\varphi}_c(\beta) \overline{\hat{\chi}_{c\alpha}(\beta)} = \hat{\varphi}_c(c\alpha)$$

$$\Delta(f, \text{LIN}) = \min_{\alpha \in \mathbb{F}_q^n} \left\{ 1 - \frac{1}{q} \cdot \left( 1 + \sum_{c \in \mathbb{F}_q^*} \langle \varphi_c, \chi_{c\alpha} \rangle \right) \right\} = 1 - \frac{1}{q} \left( 1 + \max_{\alpha \in \mathbb{F}_q^n} \sum_{c \in \mathbb{F}_q^*} \langle \varphi_c, \chi_{c\alpha} \rangle \right) = 1 - \frac{1}{q} \left( 1 + \max_{\alpha \in \mathbb{F}_q^n} \sum_{c \in \mathbb{F}_q^*} \hat{\varphi}_c(c\alpha) \right).$$

Then more analysis yields the desired bound:

$$\Pr[V_{\text{BLR}}^f = 1] = \frac{1}{q} \left( 1 + \frac{1}{(q-1)^2} \sum_{\alpha \in \mathbb{F}_q^n} \left( \sum_{c \in \mathbb{F}_q^*} \hat{\varphi}_c(c\alpha) \right)^2 \right) \leq \frac{1}{q} \left( 1 + \max_{\alpha \in \mathbb{F}_q^n} \sum_{c \in \mathbb{F}_q^*} \hat{\varphi}_c(c\alpha) \cdot \frac{1}{(q-1)^2} \sum_{\alpha \in \mathbb{F}_q^n} \left( \sum_{c \in \mathbb{F}_q^*} \hat{\varphi}_c(c\alpha) \right)^2 \right)$$

↑  
most of the work

$$\leq \frac{1}{q} \left( 1 + \max_{\alpha \in \mathbb{F}_q^n} \sum_{c \in \mathbb{F}_q^*} \hat{\varphi}_c(c\alpha) \cdot 1 \right) = 1 - \Delta(f, \text{LIN}).$$

$$\begin{aligned} & \sum_{\alpha \in \mathbb{F}_q^n} \hat{\varphi}_c(c\alpha) \hat{\varphi}_d(d\alpha) \\ &= \sum_{\alpha \in \mathbb{F}_q^n} \sum_{x, y \in \mathbb{F}_q^n} q^{-2n} \varphi_c(x) \varphi_d(y) \omega_p^{-\langle c\alpha, x \rangle - \langle d\alpha, y \rangle} \\ &= q^{-n} \sum_{x \in \mathbb{F}_q^n} \varphi_c(x) \varphi_d(-cd^{-1}x) \\ &\leq q^{-n} \sum_{x \in \mathbb{F}_q^n} |\varphi_c(x) \varphi_d(-cd^{-1}x)| \\ &= q^{-n} \cdot q^n = 1 \end{aligned}$$

$$\begin{aligned} & \sum_{\alpha \in \mathbb{F}_q^n} \left( \sum_{c \in \mathbb{F}_q^*} \hat{\varphi}_c(c\alpha) \right)^2 \\ &= \sum_{c \in \mathbb{F}_q^*} \sum_{d \in \mathbb{F}_q^*} \left( \sum_{\alpha \in \mathbb{F}_q^n} \hat{\varphi}_c(c\alpha) \hat{\varphi}_d(d\alpha) \right) \\ &\leq \sum_{c \in \mathbb{F}_q^*} \sum_{d \in \mathbb{F}_q^*} 1 = (q-1)^2 \end{aligned}$$

# Improved Analysis for Finite Fields

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The special case  $q=2$  corresponds to linearity testing for boolean functions  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$ .

The analysis of the BLR test simplifies to an elegant and concise computation, now via Fourier analysis of boolean functions.

**Theorem 1.30.** Suppose the BLR Test accepts  $f: \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  with probability  $1 - \epsilon$ . Then  $f$  is  $\epsilon$ -close to being linear.

**Proof.** In order to use the Fourier transform we encode  $f$ 's output by  $\pm 1 \in \mathbb{R}$ ; thus the acceptance condition of the BLR Test becomes  $f(\mathbf{x})f(\mathbf{y}) = f(\mathbf{x} + \mathbf{y})$ . Since

$$\frac{1}{2} + \frac{1}{2}f(\mathbf{x})f(\mathbf{y})f(\mathbf{x} + \mathbf{y}) = \begin{cases} 1 & \text{if } f(\mathbf{x})f(\mathbf{y}) = f(\mathbf{x} + \mathbf{y}), \\ 0 & \text{if } f(\mathbf{x})f(\mathbf{y}) \neq f(\mathbf{x} + \mathbf{y}), \end{cases}$$

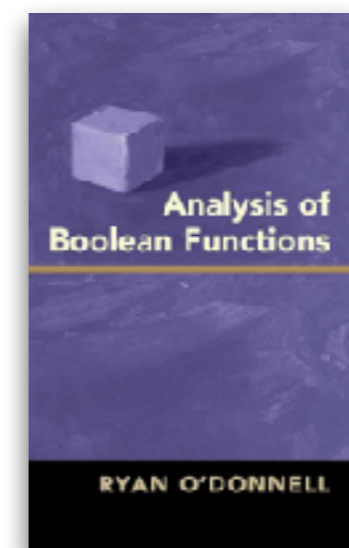
we conclude

$$\begin{aligned} 1 - \epsilon &= \Pr[\text{BLR accepts } f] = \mathbf{E}_{\mathbf{x}, \mathbf{y}} \left[ \frac{1}{2} + \frac{1}{2}f(\mathbf{x})f(\mathbf{y})f(\mathbf{x} + \mathbf{y}) \right] \\ &= \frac{1}{2} + \frac{1}{2} \mathbf{E}_{\mathbf{x}} [f(\mathbf{x}) \cdot \mathbf{E}_{\mathbf{y}} [f(\mathbf{y})f(\mathbf{x} + \mathbf{y})]] \\ &= \frac{1}{2} + \frac{1}{2} \mathbf{E}_{\mathbf{x}} [f(\mathbf{x}) \cdot (f * f)(\mathbf{x})] && \text{(by definition)} \\ &= \frac{1}{2} + \frac{1}{2} \sum_{S \subseteq [n]} \widehat{f}(S) \widehat{f * f}(S) && \text{(Plancherel)} \\ &= \frac{1}{2} + \frac{1}{2} \sum_{S \subseteq [n]} \widehat{f}(S)^3 && \text{(Theorem 1.27)}. \end{aligned}$$

We rearrange this equality and then continue:

$$\begin{aligned} 1 - 2\epsilon &= \sum_{S \subseteq [n]} \widehat{f}(S)^3 && (1.10) \\ &\leq \max_{S \subseteq [n]} \widehat{f}(S) \cdot \sum_{S \subseteq [n]} \widehat{f}(S)^2 \\ &= \max_{S \subseteq [n]} \widehat{f}(S) && \text{(Parseval)}. \end{aligned}$$

But  $\widehat{f}(S) = \langle f, \chi_S \rangle = 1 - 2\text{dist}(f, \chi_S)$  (Proposition 1.9). Hence there exists some  $S^* \subseteq [n]$  such that  $1 - 2\epsilon \leq 1 - 2\text{dist}(f, \chi_{S^*})$ ; i.e.,  $f$  is  $\epsilon$ -close to the linear function  $\chi_{S^*}$ .  $\square$



Source: Analysis of Boolean Functions  
Ryan O'Donnell, 2014

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